Stifel Wealth Strategist Report® **Checklist**

We recommend that you assemble all of your financial information before you begin to complete the Wealth Planning Questionnaire. Below is a list of documents that may be necessary based upon which analyses you request. Please use the checkboxes to confirm you have gathered all of the essential information and submit copies of these documents with the completed questionnaire.

- [ ] Most recent bank, brokerage, 529 plan, mutual fund, and annuity statements
- [ ] List of all CDs, government/treasury securities, stocks, municipal bonds, and other securities held in a safe deposit box or not listed on the requested statements (including maturity date, interest rate, and name of financial institution)
- [ ] Most recent IRA, 401(k), pension, profit sharing, and employee benefit statements
- [ ] Vesting schedule, grant details, and restricted stock/option agreements
- [ ] Most recent Social Security statement
- [ ] Most recent liability statements
- [ ] Life, long-term care, and disability insurance contracts and policies
- [ ] Personal umbrella liability policies
- [ ] Two most recent federal and state income tax returns
- [ ] Estate planning documents (wills, trusts, powers of attorney, health care powers of attorney, living wills, irrevocable life insurance trusts, etc.)
NOTE: We **do not** accept original estate documents
- [ ] Key man or buy-sell agreements

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